

Instructions for RIF DUA – Attachment B

This document: is to acknowledge the DUA User/Requester’s understanding that reporting can now be completed at the physician level without having to request an amendment to the DUA.

CMS requires one signed Attachment B per DUA for the life cycle of that DUA. So for all new studies/new DUA requests, this document is required. It is not required for amendments unless a signed Attachment B has not been previously submitted (usually older DUAs that were established prior to the Attachment B requirement).

Research Identifiable Files

DUA #: Leave blank if new request – if amendment request, then please enter applicable DUA #

Attachment B

This attachment supplements the above-referenced Data Use Agreement (DUA) between the Centers for Medicare and Medicaid Services and the User (as set forth in the DUA). Upon execution by both parties, to the extent this Attachment is inconsistent with any terms in the DUA, this Attachment modifies and overrides the DUA.

A-1. Use of Data: Users may disseminate research findings on providers or suppliers (including individual physicians) using original or derived information from the files specified in Section 5 of the DUA provided all findings are limited to patient de-identified data that conform with the HIPAA Privacy Rule’s definition of de-identified data at 45 CFR 164.514(b).

A-2. Disclosure of Findings: Nothing in the DUA, including but not limited to Section 9, prohibits Users from discussing or reporting on specific providers or suppliers (including individual physicians) in a manner consistent with A-1.

Leave blank

For: Centers for Medicare & Medicaid Services

Leave blank at start of request - please do **NOT** sign until advised by ResDAC. (During request finalization, document will be signed and dated by the DUA User/Requester.)

For: DUA Data Requestor